

PTO/SB/21 (08-03)

Approved for use through 08/30/2003. OMB 0651-0031

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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number 10/713,475

Filing Date November 14, 2003

First Named Inventor David H. Stark

Art Unit To Be Assigned

Examiner Name To Be Assigned

Attorney Docket Number STRK-26,032

ENCLOSURES (Check all that apply)

- | | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance communication to Technology Center (TC) |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
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| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | Post card; SB-08 (3); 13 Non-Patent Literature Documents. |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | Remarks | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENTFirm or Individual name HOWISON & ARNOTT, L.L.P.
John J. Arnott, Reg. No. 39,095

Signature

Date

CERTIFICATE OF TRANSMISSION/MAILING

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Typed or printed name John J. Arnott
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Date

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STRK-26,032



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: David H. Stark

Confirmation No. 1932

Serial No.: 10/713,475

Filed: November 14, 2003

Group: To Be Assigned

Examiner: to Be Assigned

For: WAFER-LEVEL HERMETIC PACKAGE

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, Mail Box DD.	
February 26, 2004	(Date of Deposit)
JOHN J. ARNOTT (39,095)	(Name of Person Mailing Document)
<i>John J. Arnott</i>	(Signature)
2/26/2004	(Date of Signature)

INFORMATION DISCLOSURE STATEMENT

In accordance with the requirements of 37 C.F.R. §§ 1.56, 1.97, and 1.98, attached please find a Form PTO SB/08 listing information for consideration by the Office in connection with its examination of the above-captioned patent application.

In accordance with the latest Office Rules, copies of the listed U.S. patent documents are not enclosed. Copies of the non-patent literature documents are enclosed herein.

Applicant requests that this information disclosure statement be considered and that a copy of the Form PTO SB/08 be returned to the undersigned indicating the consideration of each document listed.

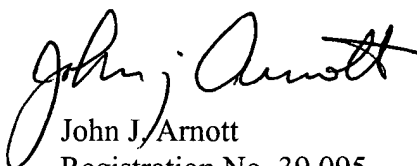
REMARKS

Applicant submits that no representation is made, and no representation is intended, that more relevant material does not exist, or that the order of presentation of these materials in any way reflects their relevant pertinence. The listing on the attached Form PTO SB/08 is not intended to constitute an admission of any kind. Specifically, this presentation is not an admission that any of the items listed are properly citable against the above-identified application as prior art. Applicant respectfully submits that his invention is patentable over the enclosed documents listed on Form PTO SB/08.

To Applicant's knowledge, this information disclosure statement is being filed before the mailing date of a first Office Action on the merits. Therefore, pursuant to 37 C.F.R. §1.97(b)(3), no fee is believed necessary for its consideration. Please charge any necessary fees or deficiencies in fees necessary for the filing of this paper or credit any overpayment to Deposit Account No. 20-0780/STRK-26,032 of HOWISON & ARNOTT, L.L.P.

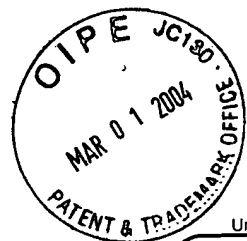
Respectfully submitted,

HOWISON & ARNOTT, L.L.P.
Attorneys for Applicant


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February 26, 2004



PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 3

Complete if Known

Application Number	10/713,475
Filing Date	November 14, 2003
First Named Inventor	David H. Stark
Art Unit	To Be Assigned
Examiner Name	To Be Assigned
Attorney Docket Number	STRK-26,032

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US- 3,698,878	10/17/72	Hale et al.	
	02	US- 5,118,924	06/02/92	Mehra et al.	
	03	US- 5,302,414	04/12/94	Alkhimov et al.	
	04	US- 5,423,119	06/13/95	Yang	
	05	US- 6,139,913	10/31/00	Van Steenkiste et al	
	06	US- 6,191,359	02/20/01	Sengupta et al.	
	07	US- 6,627,814	09/30/03	Stark	
	08	US- 6,537,121	03/25/03	Baret	
	09	US- 6,548,895	04/15/03	Benavides et al.	
	10	US- 4,355,323	10/19/82	Hendrikus Kock	
	11	US- 5,945,721	08/31/99	Nobuyoshi Tatch	
	12	US- 5,949,655	09/07/99	Thomas Glenn	
	13	US- 6,020,628	02/01/00	Brian Mravic et al.	
	14	US-			
	15	US-			
	16	US-			
	17	US-			
	18	US-			
	19	US-			

FOREIGN PATENT DOCUMENTS

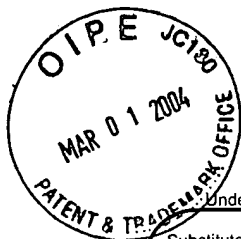
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner Signature	Date Considered
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Application Number	10/713,475
Filing Date	November 14, 2003
First Named Inventor	David H. Stark
Art Unit	To Be Assigned
Examiner Name	To Be Assigned
Attorney Docket Number	STRK-26032

Sheet	2	of	3
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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	200	DORON TEOMIM, AVNER BADIHI, GIL ZILBER; "An innovative approach to wafer-level MEMS packaging"; Solid State Technology (Magazine); January 2002; Penwell (Publ.); Nashua, NH USA.	
	201	Carpenter Specialty Alloys: Controlled-Expansion Alloys (Catalog/Brochure); December 1999; Pages 1-24 (esp. 5-8); Carpenter Technology Corporation (Publ.); Wyomissing, PA USA.	
	202	JPL Technology Reporting Office; "Hermetic Wafer Bonding By Use of Microwave Heating"; NASA Tech Brief, Vol. 25, No. 5, from JPL New Technology Report NPO-20608 (NASA Contract No. NAS-7-918); May 1, 2001; Jet Propulsion Laboratory, California Institute of Technology (Publ.); Pasadena, CA, USA; including therein:	
		NTR Inventors Report by HENRY W JACKSON, JOHN D MAI, MARTIN B BARMATZ, NASSER K BUDRAA, WILLIAM T PIKE; NASA Case No. 0205 20608; March 1997(?) (Best Available Copy); including therein: (same authors) "Low Pressure and Low Temperature Hermetic Wafer Bonding Using Microwave Heating"; Jet Propulsion Laboratory, California Institute of Technology, Pasadena, CA, USA (Best Available Copy)	
	203	GEORGE S. BRADEY, ET AL.; Materials Handbook, 12th Edition; 1986; pp 28-29; McGraw-Hill Book Company; New York.	
	204	R.C. DYKHUIZEN ET AL.; Gas Dynamic Principles of Cold Spray; Journal of Thermal Spray Technology; Vol. 7(2); pp 205-212; June, 1998.	
	205	KAZAKOV, N.F. (ed.); Diffusion Bonding of Materials; 1981; pp 4-9, 248-257; Pergamon Press; U. S. A.	
	206	NICHOLS, M.G. & LEE, R. J.; Joining Dissimilar Materials, Metals and Materials, The Journal of the Institute of Metals, Vol. 5, No. 6, June 1989; UK.	
	207	CERJAK, H. (ed.); Mathematical Modelling of Weld Phenomena 5; Diffusion Bonding of Glass to Metal in an Electrostatic Field; M. Morsy et al.; pp 945-959; London IOM Communications, 2001.	
	208	OSTYN, K. & VINCKIER, A.; Joining of Different Materials Through Interfaces; Interfaces in Materials, Proceedings of the Colloquium; pp. 153-173; Brussels, 12/1988.	

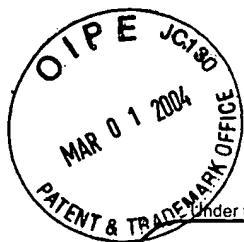
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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Examiner Name	To Be Assigned
Attorney Docket Number	STRK-26,032

Sheet	3	of	3
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NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	209	ARATA ET AL. Pressure and Field Assisted Bonding of Glass to Aluminum; Transactions of JWRI is published by Welding Research Institute of Osaka University; Vol. 13; No. 1; 1984; pp 35-40.	
	210	SADPYSKY ET AL.; Precision Welding of Glass to Kovar Without Melting; Svarochnoe Proizvodstvo; February 1973; pp 22; (In Russian, English translation provided).	✓
	211	KAZAKOV ET AL.; Equipment for Diffusion Welding of Rectangular Glass Plates to Kovar; Svarochnoe Proizvodstvo; June 1977; p 50; (In Russian, English translation provided).	✓
	212	PCT; International Search Report of PCT/US03/07553 (related application); International Publication No. WO 03/083938 A1; 16 June 2003; 2 pg.	

Examiner Signature	Date Considered
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